

# **Illinois**

**JOHN W. MAITLAND**

**LOW INCOME HOME ENERGY ASSISTANCE PROGRAM**

## **2010 STATE PLAN**

**State of Illinois  
Department of Commerce & Economic Opportunity  
620 E. Adams  
Springfield, Illinois 62701-1615**

July 2009

# TABLE OF CONTENTS

	<b>Page</b>
Transmittal Letters	
I. Introduction .....	1
II. PY2010 Program Design .....	2
III. Assurances .....	7
IV. Distribution of Allocation .....	17
V. Right to Modify .....	18
VI. Performance Goals .....	19
Appendix A Direct Vendor/Cash Payment Matrices.....	A-1
Regional Assistance Map .....	A-5
Appendix B Directory of Energy Assistance Local Administering Agencies.....	B-1
Appendix C Annotation of Assurances.....	C-1
Attachment 1 - Public Hearings	
Attachment 2 - Certifications	
Attachment 3 - 2009 Program Results	

## I. INTRODUCTION

Title XXVI of the Omnibus Budget Reconciliation Act of 1981, as amended, created the "Low Income Home Energy Assistance Act of 1981". Under this Act, the Secretary of the U.S. Department of Health and Human Services is authorized to make grants to states to assist low income households in meeting the costs of energy consumed for heating and, where medically necessary, cooling of residences. States must comply with sixteen assurances prior to receipt of funds. These assurances are designed to ensure minimum and basic continuity of program design among states. However, the Act does allow states flexibility to develop and implement Plans that meet their own diverse needs and circumstances.

The Illinois Department of Commerce & Economic Opportunity has been designated by Governor Pat Quinn as the agency to administer the Low Income Home Energy Assistance Program (LIHEAP) in the state. Within the Department, the Office of Energy Assistance has the lead role in carrying out the energy assistance program. The key contact person for the program is Larry Dawson, Managing Director, Office of Energy Assistance, 620 E. Adams, Springfield, Illinois 62701.

The primary purpose of the LIHEAP is to assist low-income households to better afford the rising cost of energy through direct financial assistance, energy counseling, outreach and education. The program allows the State of Illinois to reach not only the vulnerable low-income population, but also to increase awareness among the general population of the needs of low-income households. It further serves as an important entry point to a variety of other needed social services for the low-income population.

## II. 2010 PROGRAM DESIGN

This section of the State Plan outlines the activities that the Illinois Department of Commerce and Economic Opportunity will undertake to continue its administration of the Low Income Home Energy Assistance Program (LIHEAP) as mandated by the Low Income Home Energy Assistance Act of 1981, as amended, and the Illinois Energy Assistance Act of 1989, as amended. This application is for the 12-month period of October 1, 2009, through September 30, 2010, hereinafter referred to as "program year" (PY).

In PY2010, the LIHEAP will provide both energy assistance and weatherization assistance per the following components:

- A. Home Energy Assistance
  - 1. Heating Assistance
  - 2. Emergency Assistance
  - 3. Special LIHEAP Projects
- B. Home Weatherization
  - 1. Supplement to DOE Weatherization Program
  - 2. Special Weatherization Projects

The Illinois Department of Commerce and Economic Opportunity will expand the scope and benefits of the Home Energy Assistance and Home Weatherization Assistance Components by leveraging the following nonfederal resources in Illinois, such as:

- A. State Supplemental Low Income Energy Assistance

As an amendment to the Energy Assistance Act of 1989, Public Act 90-561 provides for a state-generated energy assistance fund to be used in conjunction with, coordinated by, and administered by the Low Income Home Energy Assistance Program. These funds are used to provide energy assistance and weatherization to LIHEAP-eligible households who participate in the generation of the funds by having the Supplemental Energy Assistance charge included in their energy bills.

- B. Cash Donations

Cash donations from Illinois towns, churches, organized charities, cities and townships are used by Local Administering Agencies (LAAs) to expand the effect of LIHEAP by maximizing the use of LIHEAP benefits for low income populations.

- C. Discounts and Waivers on Delivery and Reconnection Fees

Discounts and waivers on delivery and reconnection fees from unregulated utilities are negotiated up front with LAAs and are reported to the LAA when LIHEAP benefits are paid on behalf of a LIHEAP client. This expands the effect of LIHEAP by lowering the overall energy burden to the household.

#### D. Add-A-Dollar Programs, Utility Discounts, Waivers, Grants, and Pilot Programs

Regulated utilities participate in leveraging additional energy assistance to low income families with the coordination and integration of Add-A-Dollar Programs, utility discounts, waivers, grants and pilot programs developed in cooperation with the Illinois LIHEAP. These initiatives are negotiated up front and ultimately expand the effect of LIHEAP to thousands of Illinois residents.

#### E. Good Samaritan Energy Trust Fund

Created by the Good Samaritan Energy Plan Act, Public Act 93-0285 provides for solicitation of voluntary contributions to the fund to be used for energy assistance in conjunction with, coordinated by, and administered by the Low Income Home Energy Assistance Program. These funds are used to provide energy assistance for LIHEAP eligible clients to enable them to pay gas or electric bill arrearages in order to have household gas or electric utility service connected.

The following describes the assistance that will be provided to eligible households under the 2010 Plan:

### A. HOME ENERGY ASSISTANCE

#### 1. Heating Assistance

The Illinois Energy Assistance Act of 1989, as amended, established a low income energy assistance policy and program that incorporates fuel assistance, home weatherization, and other measures designed to more effectively assist eligible households to meet the costs of home energy. The program described herein will be operated in accordance with this Act, as amended, and in accordance with the federal act.

Households that meet the income eligibility standards of the program will be eligible to receive assistance once per year under this Plan in the following manner. Income-eligible households will be provided with financial assistance and non-financial benefits including energy counseling, education, and related materials. Eligible households include those directly responsible for their heating expenses; those who pay energy costs as an undesignated portion of their rent; and in cases of publicly subsidized housing, those tenants whose lease contains a "subject to surcharge" clause or whose rent is subject to increases due to the rising energy costs.

A priority period starting September 1, 2009 will be established for elderly and disabled applicants and at the discretion of the LAAs, disconnected households. The program will continue to be available until May 31, 2010 or until funding is exhausted.

The regular application period will begin on November 1, 2009 for all other eligible households.

To ensure that households that have the lowest income receive an appropriate portion of the statewide overall funding, an amount not less than 33% of the total LIHEAP funds allocated for benefits will be used to serve those households that with incomes at or below 50% of the poverty level.

The minimum heating assistance benefit will be \$100 and will be provided to households in two forms: direct cash assistance and payments made to vendors on behalf of the eligible household as follows:

- a. Applicant households that do not have a heat-related energy source, indirectly purchase energy as an undesignated portion of rent, or public housing authority tenants whose lease contains a "subject to surcharge" clause will be eligible to receive assistance in the form of a one-time cash payment made directly to the household if the applicant's rental expenses are greater than 30% of the household's income. The Payment Matrices contained in Appendix A will be used to determine assistance levels. These payments will vary by the household's size, income and geographic location within the state.
- b. Applicant households that purchase energy directly from vendors will be eligible to receive winter energy assistance benefits in the form of a one-time payment representing a percentage of the average primary and secondary bills for the winter months - November through May. The Payment Matrix contained in Appendix A is based on a percentage of average energy costs. This matrix will be used to determine assistance levels. These payments will vary by the household's income, fuel type, family size, and geographic location within the state. Benefits to these households will be split. The utility providing the primary source of heat will receive approximately two-thirds (67%) of the total amount allowed; and one-third (33%) will be paid to the utility providing the heat-related secondary source of energy. The vendor must have agreed to abide by the Illinois Administrative Code 47, Part 100. If a particular household's vendor does not agree to the provisions of the vendor agreement, the Local Administering Agency will attempt to find an alternate vendor. In those cases where another vendor cannot be found to service the household, assistance will be provided directly to the household.

The state will use local community action agencies, other community-based organizations or units of local government as LAAs to implement the LIHEAP at the local level (see Appendix B). These agencies will be responsible for the provision of outreach, referral, energy-related counseling and educational materials, taking applications, verifying eligibility information and issuing assistance payments to energy vendors. Local agencies will be required to notify applicants of their eligibility status within 30 days of the date the client application is complete (all required client documentation has been submitted).

## **2. Emergency Assistance**

The Illinois Department of Commerce and Economic Opportunity must set aside a portion of the State's allocation for use in emergency situations. Under this component, funds may be used for either weather or supply emergencies that affect the entire eligible population or for emergency related situations that affect an individual household to the extent funds are available.

In the event of a weather-related natural disaster or extreme weather conditions, the Illinois Department of Commerce and Economic Opportunity will develop an appropriate response designed to eliminate the threat to life and health. Under such condition, the Department may utilize a portion of 2010 LIHEAP funding to provide emergency (crisis) assistance to low-income households affected by the extreme weather condition. This assistance will be limited to energy-related crisis activities, such as pledges of payment to utilities, replacement of fuel tanks, heating system and water heater repair or replacement, purchase of fans, operation or support of local cooling centers, coordination with local social service agencies, air conditioners (where medically necessary), etc.

Emergency assistance will be provided only after a household has actually been disconnected by the utility from its primary heat source, its cooling source if medical conditions require cooling, or any secondary energy source that is heat-related, or when disconnection of the heat or cooling source in such cases is imminent. Disconnection will be considered imminent when disconnection would occur within seven days without the provision of emergency assistance. Emergency service funds may also be used to prevent disconnection where the household has a documented life-threatening medical condition.

During emergency periods declared by the Department due to extreme weather conditions, Emergency assistance will be provided within 48 hours from the date the client application is complete (all client documentation has been submitted), or within 18 hours in the event of a life-threatening situation affecting an individual household. If the Department has not declared an emergency period or the Department has announced that the emergency period is no longer in effect, Emergency assistance may be provided, although it may not be provided within 48 hours.

Emergency assistance will not be made on behalf of a household unless the household makes a good-faith effort to pay its home energy bills. If payment history does not demonstrate good faith, the applicant will be required to pay an additional \$75 to the utility or utilities that would receive the LIHEAP payment(s). The \$75 must be paid in cash or money order at an authorized payment center within 30 days of the date of the application.

Furnace assistance will be provided to households that qualify for a reconnection assistance benefit, but do not have an operating furnace or heating supply for their residence. Furnace benefits, which include tune-up, repair, or replacement will be utilized to restore a vital heat supply to the home. The furnace assistance component will be operated by LAAs' weatherization program listed in Appendix B, which are uniquely situated to define and develop individualized responses to energy-related emergencies.

A priority period starting September 1, 2009 will be established for elderly and disabled applicants and at the discretion of the LAAs, disconnected households. The program will continue to be available until May 31, 2010 or until funding is exhausted.

The emergency application period will begin on October 1, 2009 for all other eligible households and will continue to be available until May 31, 2010 or until funding is exhausted.

### **3. Special LIHEAP Projects**

The Department may set aside a portion of funds to develop and implement new innovative approaches to LIHEAP through pilot programs. Projects may include but are not limited to arrearage reduction, percentage of income payment program, prepay incentive projects, energy education, technology development initiatives and other similar efforts.

## **B. WEATHERIZATION**

The Illinois Department of Commerce and Economic Opportunity may use an amount not to exceed 25%, which is the maximum amount allowed by the Act, to operate a residential weatherization or energy-related home repair component.

If the Department intends to exceed 15% of Block Grant funding to be used for weatherization, prior approval (waiver) will be obtained from the U.S. Department of Health and Human Services (HHS). This component is designed to decrease the amount of energy consumed by low-income households and thereby, reduces the financial strain on the resources of such households.

### **1. Supplement to DOE Program**

Energy assistance funds will be used to supplement the Illinois Home Weatherization Assistance Program that is federally funded by the Department of Energy (DOE) (10 CFR Part 440) and the State of Illinois from the Supplemental Low Income Energy Assistance Fund. The DOE weatherization guidelines (10 CFR Part 440) may be used for LIHEAP weatherization. Funds will be awarded to LAAs that have proven their effectiveness in delivering weatherization programs.

### **2. Special Projects**

The Department may set aside a portion of the funds to develop and implement low cost approaches and technologies for residential energy conservation. Projects in the energy conservation field may include energy-related repair, energy education, alternative energy use, heating system retrofits and repair, and technology development.

### **III. ASSURANCES**

As required by the Omnibus Budget Reconciliation Act of 1981, as amended, the Governor of the State of Illinois, by submission of this Plan, agrees to the provision of assurances contained in subsection 2605(b) of the Act. In addition, this Plan describes how the Illinois Department of Commerce and Economic Opportunity will implement the provisions of the Illinois Energy Assistance Act, as amended.

The following describes how the State of Illinois will carry out the above-mentioned assurances in implementing this Plan. Unless otherwise noted, the assurances apply to the provision of heating, cooling, emergency assistance, and supplemental assistance.

#### **A. USE OF FUNDS (ASSURANCE 1)**

The State of Illinois agrees to use the funds available under this Title for the purposes described in Section 2602(a) and otherwise in accordance with the requirements of this Title, and agrees not to use such funds for any payments other than payments specified in this section.

The purpose of the LIHEAP is to assist eligible low-income households in meeting the costs of home energy. Home energy is defined as a source of heat or electricity related to the heating system utilized in residential dwellings. The Illinois Plan will accomplish this by providing assistance to households through:

1. Payments to home energy vendors on behalf of eligible households that pay for energy costs directly;
2. Assistance in the form of cash payments made directly to eligible households should those households purchase home energy as an undesignated portion of rent; or in the case of public housing authority tenants, have a "subject to surcharge" clause in the lease; the applicant, however, must have rental expenses for housing greater than 30% of income;
3. Provision of emergency services to eligible households in energy related life-or-health threatening situations.
4. Provision of residential weatherization or energy related home repair assistance.

## B. ELIGIBILITY (ASSURANCES 2 AND 14)

LIHEAP will make payments under this Title only with respect to:

### 1. Income Eligibility

Under the home energy assistance portion of this Plan, assistance will be provided to households with incomes that do not exceed an amount equal to the maximum allowable income levels by family size as follows:

#### 2010 INCOME GUIDELINES

Family Size	150% 30 Day Income	150% Annual Income
1	\$1,354	\$16,245
2	\$1,821	\$21,855
3	\$2,289	\$27,465
4	\$2,756	\$33,075
5	\$3,224	\$38,685
6	\$3,691	\$44,295
7	\$4,159	\$49,905
8	\$4,626	\$55,515
9	\$5,094	\$61,125
10	\$5,561	\$66,735
11	\$6,029	\$72,345
12	\$6,496	\$77,955
13	\$6,964	\$83,565
14	\$7,431	\$89,175
15	\$7,899	\$94,785
16	\$8,366	\$100,395
17	\$8,834	\$106,005
18	\$9,301	\$111,615

For families with more than 18 persons, add                      \$467.5                      \$5,610

These figures are based on the 2009 Federal Poverty Guidelines published in the US Department of Health and Human Services in the Federal Register/Volume 74/Number 14/January 23, 2009. The state reserved the right to adjust these levels based on the availability of federal appropriations.

### 2. Energy Costs Eligibility

- a. Under the energy assistance component, assistance will be provided if the household has heat energy costs: either directly, or where the rent takes energy costs into account, or where the LIHEAP applicant resides in publicly subsidized housing and his/her lease contains a "subject to surcharge" clause. The applicant, however, must have monthly rent costs greater than 30% of the household income. If a LIHEAP applicant resides in federally subsidized housing and the housing authority pays for all the heat utilities and his/her lease does not contain a "subject to surcharge" clause, the applicant is not eligible for the heat energy payment. If a LIHEAP applicant claims zero

income, the client's income will be considered to be equal to their monthly housing cost (i.e. one month's rent).

- b. Under the weatherization component of this Plan, assistance will be provided if the household is income eligible for home energy assistance or contains a member who has received cash assistance payments under Title IV or XVI of the Social Security Act or is at 150% of the 2005 federal poverty level, which is used for the Department of Energy-funded weatherization program operated under 10 CFR 440.

### **C. OUTREACH/EDUCATION (ASSURANCES 3, 14, 15, AND 16)**

The State of Illinois will conduct outreach/education activities designed to assure that eligible households, especially households with elderly individuals or disabled individuals or both, are made aware of the different types of federal assistance available under this Title, Part A of Title IV of the Social Security Act, and any similar energy-related assistance under subtitle B of Title VI (relating to Community Services Block Grant program) or under any other provision of law that carries out programs that were administered under the Economic Opportunity Act of 1964 before the date of the enactment of this Act. A priority period will be established two months before the official start of the program to allow elderly and/or disabled individuals to apply for LIHEAP. In addition, outreach activities will be conducted to assure that the entire low-income population is aware of state and local energy-related programs.

For many years, the State of Illinois has operated a successful outreach component designed to emphasize and further the participation of both the elderly and persons with disabilities' population. It is our intention to continue targeting these groups during the administration of the energy assistance program. To achieve this, we will work closely with the Department on Aging, the Department of Human Services, the Social Security Administration and the Office of Rehabilitation Services to develop specialized outreach and referral mechanisms that emphasize the utilization of existing service networks.

The overall objective of the education effort is to provide a non-financial benefit designed to help low-income households increase their ability to utilize their limited resources in the most effective manner regarding energy-related issues.

Outreach will be coordinated statewide by the Illinois Department of Commerce and Economic Opportunity in cooperation with our statewide network of LAAs and community-based organizations. Outreach specifics will include, but not be limited to, press releases; public service announcements on radio and television; informational brochures; paid advertising; public speaking appearances by staff; direct mailings; translations/interpretations; counseling; referrals; and energy-related mediation and advocacy.

Coordination will include a supportive relationship with the Illinois Home Weatherization Assistance Program that is funded in part by the Department of Energy. It is also anticipated that utility vendors will actively promote the program again this year along with the efforts of the Illinois Department of Commerce and Economic Opportunity and other agencies and the network of LAAs.

In addition to the outreach/education activities, the Department will conduct LIHEAP client education and counseling during the program and at the time of application. This counseling will encourage and enable LIHEAP households to reduce the cost of their home energy through energy conservation and energy lifestyle (behavioral) modifications. This will potentially lower the household's energy cost and reduce the need for energy assistance.

#### **D. COORDINATION (ASSURANCES 4 AND 15)**

The State of Illinois will coordinate its activities under this Title with similar and related programs administered by the federal government and the state, particularly low income energy-related programs under subtitle B of Title VI (relating to Community Services Block Grant programs), under the Supplemental Security Income program, under Part A of Title IV of the Social Security Act, under Title XX of the Social Security Act, under the Low Income Weatherization Assistance Program, under Title IV of the Energy Conservation and Production Act, under any other provision of law that carries out programs that were administered under the Economic Opportunity Act of 1964 before the date of the enactment of the Act, or under the Energy Assistance Act of 1989, as amended (Public Act 90-561).

Using its established network of LAAs, the Illinois Department of Commerce and Economic Opportunity will make every effort to ensure that the coordination of federal and state energy-related programs also occur at the local level. In addition to providing the types of financial assistance allowed under this grant, the Department will, for example, make low income households aware of methods of lowering energy consumption and thereby reducing costs to households.

In our efforts to coordinate the provision of energy related assistance to eligible households, we will contact all known public and private providers to determine the type of assistance available and the contact points. This information will be passed along to participants in the LIHEAP. The Illinois Department of Commerce and Economic Opportunity, due to its administration of the Department of Energy Weatherization Assistance Program, is in a unique position to coordinate such an effort at the local level, thereby helping to ensure a maximum amount of energy assistance to eligible households. In addition, the provision of energy assistance will be coordinated with the Policy Advisory Council that has been established to provide guidance and direction to the Weatherization and Energy Assistance Programs.

#### **E. PAYMENT LEVELS (ASSURANCES 5, 8 AND 14)**

This section of the Plan outlines the methodology to be used to determine the assistance levels for households under the home energy assistance component of the program. The State of Illinois will provide, in a manner consistent with the efficient and timely payment of benefits, the highest level of assistance to those households that have the lowest incomes and the highest energy costs in relation to income and family size. These benefits are detailed in Appendix A.

Assistance levels under the weatherization component will in part be determined by rules and regulations promulgated by the DOE (10 CFR PART 440) and the state of Illinois. Assistance levels for emergency services will be determined on a case-by-case basis, utilizing income, energy costs and vendor policies as the primary determining factors.

In developing the LIHEAP payment levels, a number of variables were considered in an attempt to structure a payment schedule that provides the highest levels of assistance to those households experiencing the greatest need during the operation of the program. The first and foremost variable is whether or not the household makes payments directly to a vendor for home energy or whether home energy costs are included as an undesignated portion of rent. Those households that purchase home energy directly are more immediately vulnerable to energy costs and dramatic seasonal variations and are, therefore, more likely to experience the loss of energy service due to their inability to meet energy costs.

In determining benefit levels for households who are responsible for their own energy bills, the state developed a payment matrix that varies benefits according to need. Benefits will vary by climatic region, fuel type, fuel cost, household size, and income.

An analysis of climatic information provided by the U.S. Climatological Survey and energy usage information compiled from state and federal sources indicate that five climatic regions exist within Illinois. Of the five regions, only two have greater than a 20% difference in heating degree-days. Applicant households will therefore be divided into two distinct regions and individual payment matrices will be developed for each. For purposes of this Plan, we will utilize two regions. A map depicting the boundaries of these climatic regions is shown in Appendix A.

The initial step in constructing the regional benefit matrices was to determine the average regional winter energy costs for households according to fuel type and family size. The resulting matrices of winter energy costs are the basis for determining benefits. Under Illinois' program, the LIHEAP benefits are designed to pay a percentage of the average winter energy bill for similar households by fuel type and family size. Households with the lowest incomes below 50% of the maximum allowable receive a benefit that is designed to cover 60% of the average winter bill, those with incomes between 51% and 100% of the maximum receive a benefit on average of 50% of the average winter energy bill, households with incomes between 101% and 150% of the maximum receive 40% of the average winter energy bill.

The result of varying benefits by location, fuel type, family size, and income level ensures that the highest benefit payments go to households with the greatest need. Appendix A contains payment matrices that will be utilized in determining energy assistance payment levels under this Plan. The state reserves the right to modify or alter the payment matrix should changes in fuel cost occur or the amount of funds available to carry out this Plan change. The Illinois Department of Commerce and Economic Opportunity plans to do an in-depth fuel cost analysis and update the Payment Matrix within the next year.

#### **F. LOCAL ADMINISTERING AGENCIES (ASSURANCE 6)**

The State of Illinois, to the extent that it is necessary to designate LAAs in order to carry out the purposes of this Title, will give special consideration, in the designation of such agencies, to any local public or private nonprofit agency that was receiving federal funds under any low income energy assistance program or weatherization program under the Economic Opportunity Act of 1964 or any other provision of law on the day before the date of the enactment of this Act, except that: (1) the state shall, before giving such special consideration, determine that the agency involved meets program and fiscal requirements established by the state; and (2) if there is no such agency because of any change in the assistance furnished to programs for economically disadvantaged persons, then the state shall give special consideration in the designation of LAAs to any successor agency that is operated in substantially the same manner as the predecessor agency that did receive funds for the fiscal year preceding the fiscal year for which the determination is made.

During its administration of the previous energy assistance programs, the Illinois Department of Commerce and Economic Opportunity has developed a network of LAAs to act as local service providers in accordance with this assurance. We will continue to utilize these agencies, whenever possible, to operate a portion of the heating component and the emergency component.

The Department evaluated the past performance of each LAA in its operation of all components of the 2009 Energy Assistance Program. This evaluation, in conjunction with local government involvement, will help to provide the basis for designation of agencies for the PY2010 program.

#### **G. PAYMENT TO ENERGY VENDORS (ASSURANCE 7)**

Whenever possible, the state will ensure that payments are made directly to home energy providers and has established procedures to: (1) notify each participating household of the amount of assistance paid on its behalf; (2) assure that the home energy supplier will charge the eligible household, in the normal billing process, no more than the difference between the actual cost of the home energy and the amount of the payment made by the state under this Plan; (3) assure that any agreement entered into with a home energy supplier under this paragraph will contain provisions to assure that no household receiving assistance under this Title will be treated adversely because of such assistance under applicable provisions of state law or public regulatory requirements; (4) assure that any home energy supplier receiving direct payments agrees not to discriminate, either in the cost of the goods supplied or the services provided, against the eligible household on whose behalf payments are made; and (5) assure that in the case of emergency service, reconnection will be provided within 48 hours from the date the client application is complete (all client documentation has been submitted and client is determined eligible); 18 hours if the energy crisis is life threatening.

LAA's will have the responsibility of determining the heating and emergency assistance levels according to the Department of Commerce and Economic Opportunity's guidelines. Payments on behalf of eligible applicant households to a home energy vendor may be made by the LAA in accordance with established Illinois Department of Commerce and Economic Opportunity policy. These payments may be used to offset current past due bills, for reconnection fees or deposits and to establish lines of credit. Eligible households will receive written notification from the LAA as to the amount of assistance provided on their behalf to a home energy vendor.

Prior to the receipt of funds under the Plan, home energy vendors will be required to sign a written agreement that will guarantee Assurances 2 through 5 above. The Department will periodically monitor the agreements signed by ICC regulated utilities and the LAA network will monitor unregulated utilities and delivered fuel vendor agreements.

If the vendor refuses to sign the agreement, the LAA will attempt to find an alternate participating vendor for the household. If this is impossible or no alternate vendor is available, the energy assistance payment will be made directly to the household.

In the weatherization component, no payments are made to energy vendors.

#### **H. OWNER-RENTER EQUITY (ASSURANCE 8)**

The state assures that it will treat owners and renters equitably in all components under this Plan including the weatherization component. In the home energy component, the question of equity between households that pay for home energy directly and those who purchase energy as an undesignated portion of rent is described in detail under Section III.E of this Plan. In summary, payment levels for eligible households that purchase energy as an undesignated portion of rent will vary by household size, income and climatic region. Assistance levels for households that purchase heat-related energy directly from vendors will have payments varied by income, climatic region, fuel type, fuel cost, and household size.

## **I. ADMINISTRATIVE COSTS (ASSURANCE 9)**

The State of Illinois assures that it will use an amount not to exceed 10% of the funds under this Title for planning and administration. Administration funds will not be transferred pursuant to Section 2604(f) for use under another block grant. The state will pay the remaining costs of planning and administering the program assisted under this Title from nonfederal sources and will not use federal funds for such remaining costs.

## **J. FISCAL ACCOUNTABILITY AND AUDIT (ASSURANCE 10)**

The State of Illinois will ensure that fiscal control and fund accounting procedures will be established as may be necessary to assure the proper dispersal of and accounting for federal funds paid to the state. This will include procedures for regularly monitoring the assistance provided under this Title and providing that the state shall prepare a simple audit according to OMB circular A-133 of its expenditure of amounts received under this Title and amounts transferred to carry out the purposes of this Title.

The fiscal management systems of all local agencies will be an integrated accounting system that provides for accountability of public funds and meets the requirements of OMB Circulars A-110, Common Rule, A-87, A-133, and A-122 as applicable. In addition to the ongoing financial evaluation, the Illinois Department of Commerce and Economic Opportunity grants management staff will closely monitor the programmatic activities of any local agency or other entity carrying out the energy assistance, emergency assistance, and weatherization components of this Plan.

The Department's Financial Accounting staff is available to provide extensive training and technical assistance to the agencies in the structuring and implementation of their fiscal management systems. This includes ongoing help in establishing integrated accounting and cost allocation systems.

The state will prepare an annual audit that will be conducted in accordance with generally accepted auditing standards by an entity independent of the agency administering any activities under this Plan. Within 30 days of the completion of this audit, a copy of the audit will be submitted simultaneously to the Secretary of the Department of Health and Human Services and to the State Legislature.

## **K. FEDERAL INVESTIGATIONS (ASSURANCE 11)**

The state will permit and cooperate with any federal investigations undertaken in accordance with Section 2608.

## **L. PUBLIC PARTICIPATION (ASSURANCE 12)**

The State of Illinois continues to provide for public participation in the development of the Plan. A legal notice of availability for public inspection and comment was published in the official state newspaper and two other newspapers of major circulation. Copies of the Plan were made available for public inspection at the offices of the Illinois Department of Commerce and Economic Opportunity in Springfield and Chicago. In addition, two public hearings were held to gain public comment and reaction to the Plan. Hearings were held on July 9, 2009 in Chicago and Springfield.

The Illinois Department of Commerce and Economic Opportunity LIHEAP Policy Advisory Council have assisted in the structuring of this Plan. The Council consists of representatives from major state agencies, fuel vendors, legal aid groups, local service providers, community groups, and others.

The Illinois Department of Commerce and Economic Opportunity LIHEAP Policy Advisory Council has the following responsibilities:

1. Review and advise the Illinois Department of Commerce and Economic Opportunity on all grant applications and Plans, and any amendments thereto to be submitted to the federal funding sources for the Weatherization Assistance and Low Income Energy Assistance Programs;
2. Review and advise on the preparation of administrative rules to the General Assembly, and any proposed changes thereto on programs operated by the Office of Energy Assistance;
3. Offer comment from time to time, as may be appropriate, on Illinois Department of Commerce and Economic Opportunity programmatic, administrative, policy, and technical procedures manuals;
4. Review, discuss, and make recommendations to the Illinois Department of Commerce and Economic Opportunity on any special projects as may be appropriate;
5. Review from time to time programmatic status reports of programs operated by the Office of Energy Assistance against planned goals and offer suggestions and recommendations;
6. Monitor the administration of the LIHEAP to ensure effective, efficient, and coordinated program development and implementation;
7. Advise the Department on the proper level of support required for effective administration of the Energy Assistance Act of 1989, as amended;
8. Provide a written opinion concerning any regulation proposed pursuant to the Energy Assistance Act of 1989, as amended, and review and comment on any energy assistance or related Plan required to be prepared by the Department.

The State of Illinois will publish a legal notice and conduct a public hearing on any proposed substantive modifications to this Plan. Modifications will also be presented to the Policy Advisory Council for review and comment prior to submission.

#### **M. APPEALS (ASSURANCE 13)**

The State of Illinois has developed a system that provides an opportunity for a fair administrative hearing to households, whose claims for assistance under this Plan are denied or are not acted upon with reasonable promptness, including any claims for weatherization assistance. The administrative hearing process includes three levels of appeal: the informal conference, the state review and the formal hearing.

The Local Administering Agency's designated hearing officer conducts the informal conference. This conference is designed to ensure the claimant understands the actions taken or any reason for delay. The agency gives the claimant a written determination at the end of the informal conference. This determination is accompanied by a Request for State Review form. If the claimant is not satisfied with the results of the informal conference, they have 30 days to send the Request for State Review form to the state.

A State of Illinois staff person conducts the state review. This step consists of a review of the claimant's file, updated to include the informal conference report. A determination is made and a letter sent to the claimant within 15 working days of the request for review. If the claimant is not satisfied with this determination, they have 30 days to submit a letter to the state requesting a formal hearing.

A state hearing officer conducts the formal hearing. This hearing is held within 30 days of the receipt of the claimant's letter and a written decision rendered within 10 days of the date of the formal hearing. The formal hearing will meet the following standards:

1. The hearing will be held in a place reasonably convenient to the claimant;
2. The claimant will be afforded an opportunity to review his/her file;
3. The hearing will be recorded and the decision based on the record;
4. The hearing will be held and a decision rendered within 30 days from the date the applicant requested a formal appeal;
5. The claimant, if a person with disabilities, will be provided interpretive and auxiliary services, as necessary; and
6. The claimant will have the right to:
  - a. Be accompanied and/or represented by another;
  - b. Present written and oral statements and other evidence;
  - c. Bring an interpreter if needed; and
  - d. Present and question witnesses.

Each applicant is notified of his/her appeal rights in writing at the time of application.

#### **N. NONDISCRIMINATION (ASSURANCE 14)**

The State of Illinois will ensure that no person shall, on the grounds of race, color, national origin, sex or age, be excluded from participation, denied benefits or be subject to discrimination under any component of this Plan. Any prohibition against discrimination on the basis of age under the Age Discrimination Act of 1975 or with respect to an otherwise qualified person with disabilities as provided in Section 504 of the Rehabilitation Act of 1973 also shall apply to activities under any component of this Plan.

The state assures that it will comply with:

1. Title VI of the Civil Rights Act of 1964 (P.L. 88-352) and the regulations issued pursuant thereto (24 CFR Part I), which provides that no person in the United States shall, on the grounds of race, color, or national origin, be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination under any program activity for which the applicant receives federal financial assistance and will immediately take any measures necessary to effectuate this assurance;
2. Title VII of the Civil Rights Act of 1968 (P.L. 90-824) as amended, administering all programs and activities relating to housing and community development in a manner to affirmatively further fair housing; and will take action to affirmatively further fair housing and the provision of brokerage services within the applicant's jurisdiction;
3. Title I and Title II of the Americans with Disabilities Act (ADA) (42 U.S.C. 12101 et seq) (1990) regarding employment, program accessibility, and services;

The State of Illinois assures that it will require all LAAs receiving funds under this Plan to submit an equal employment opportunity/ affirmative action plan that provides for provision of service as well as employment practices.

#### **O. COLLECTION OF DATA (ASSURANCE 14)**

The State of Illinois will provide for the collection of data including: (1) information concerning home energy consumption; (2) the cost and type of fuels used; (3) the type of fuel used by various income groups; (4) the number and income levels of households assisted by this Title and (5) any other information that is determined to be reasonably necessary to carry out the provisions of this Title. The State of Illinois will report to the Secretary concerning the impact of program service activities on the number of households served, the level of direct benefits provided to those households, and the number of households that remain unserved.

## IV. DISTRIBUTION OF ALLOCATION

### (ASSURANCES 14 AND 16)

The following is an estimate of the relative distribution of available funds to each of the main components of the Plan:

Component	Estimated Allocation
1. Funds to be expended on home energy assistance according to the provisions of this Plan ..... not less than	70%
a. Heating Assistance	
b. Emergency Assistance	
2. Funds to be expended on assistance for weatherization or energy-related home repair ..... not more than	15%
3. Funds to be used for the cost of planning and administering the provisions of this Plan ..... not more than	10%
4. Funds to be used to provide program services that encourage households to participate in the program and enable them to reduce their home energy needs. Activities include, but are not limited to, outreach, assistance in applying, needs assessments, counseling, and assistance with energy vendors ..... not more than	5%

## **V. RIGHT TO MODIFY**

The State of Illinois reserves the right to modify any of the provisions of this Plan should changes in the federal or state allocation level occur or if such changes are deemed necessary and in the best interest of the people of Illinois. Any modifications to this Plan will be made in accordance with the Illinois Department of Commerce and Economic Opportunity's established public participation procedures and will be furnished to the Secretary of the Department of Commerce and Economic Opportunity.

## VI. PERFORMANCE GOALS

### 2009 LIHEAP Performance Goals & Results

The following information reflects the State of Illinois' eighth year at establishing performance goals. The eligible population figures are based on estimates provided by the U. S. Department of Health and Human Services. The results include client eligibility at 150% of poverty guidelines.

### 2009 LIHEAP Performance Goals & Results

#### Target Populations

Category	Eligible Population		Service Target		2009 Results	
	#	%	#	%	#	%
Eligible households	863,177	100	345,270	40	537,224	62.2
Containing elderly members	326,436	37.8	130,574	40	198,702	60.8
Containing members with disability	216,816	25.1	86,726	40	120,152	55.4
Containing a child under age 5	185,514	21.4	74,205	40	135,782	73.1

We will establish goals for the 2010 LIHEAP in keeping with our continuing efforts to serve the most vulnerable groups within Illinois. The target groups are the elderly population, clients age 60 years of age and older, the disabled, and households with young children under the age of 5. The goal of the LIHEAP is to serve a representative percentage of the total eligible population of these groups in Illinois.

### 2010 LIHEAP Performance Goals & Results

Performance goals for the program year 2010 will be monitored throughout the year, and if adjustments appear necessary to reach our target populations or benefit levels, appropriate measures will be undertaken.

#### Target Populations

Category	Eligible Population		Service Target		2010 Results	
	#	%	#	%	#	%
Eligible households	863,177	100	345,270	40		
Containing elderly members	326,436	37.8	130,574	40		
Containing members with disability	216,816	25.1	86,726	40		
Containing a child under age 5	185,514	21.4	74,205	40		

## **APPENDIX A**

Direct Vendor/Cash Payment Matrices A-1

Regional Assistance Map A-4

## DIRECT VENDOR/CASH PAYMENTS

### NORTH

LIHEAP INCOME GUIDELINES AT 0% - 50%		
FAMILY SIZE	0% 30 DAY INCOME From	50% 30 DAY INCOME To
1	\$0	\$451
2	\$0	\$607
3	\$0	\$763
4	\$0	\$919
5	\$0	\$1,075
6	\$0	\$1,230
7	\$0	\$1,386
8	\$0	\$1,542
9	\$0	\$1,698
10	\$0	\$1,854

2010 PAYMENT MATRIX — NORTH #1 0% - 50%							
FUEL TYPE	DVP	HOUSEHOLD SIZE					
		1	2	3	4	5	6 or more
Natural Gas/ Other	Primary	\$565	\$565	\$587	\$632	\$646	\$668
	Secondary	\$160	\$160	\$183	\$205	\$222	\$239
	TOTAL	\$725	\$725	\$770	\$837	\$868	\$907
All Electric	TOTAL	\$419	\$419	\$461	\$505	\$537	\$574
Propane	Primary	\$565	\$565	\$587	\$632	\$646	\$668
	Secondary	\$239	\$239	\$286	\$330	\$356	\$393
	TOTAL	\$804	\$804	\$873	\$962	\$1,002	\$1,061
Fuel Oil	Primary	\$452	\$452	\$469	\$485	\$502	\$520
	Secondary	\$239	\$239	\$286	\$330	\$356	\$393
	TOTAL	\$691	\$691	\$755	\$815	\$858	\$913
CASH		\$130	\$130	\$140	\$150	\$160	\$170

LIHEAP INCOME GUIDELINES AT 51% - 100%		
FAMILY SIZE	51% 30 DAY INCOME From	100% 30 DAY INCOME To
1	\$452	\$903
2	\$608	\$1,214
3	\$764	\$1,526
4	\$920	\$1,838
5	\$1,076	\$2,149
6	\$1,231	\$2,461
7	\$1,387	\$2,773
8	\$1,543	\$3,084
9	\$1,699	\$3,396
10	\$1,855	\$3,708

2010 PAYMENT MATRIX — NORTH #2 51% - 100%							
		HOUSEHOLD SIZE					
FUEL TYPE	DVP	1	2	3	4	5	6 or more
Natural Gas/ Other	Primary	\$452	\$452	\$469	\$506	\$517	\$535
	Secondary	\$128	\$128	\$146	\$164	\$178	\$191
	TOTAL	\$580	\$580	\$615	\$670	\$695	\$726
All Electric	TOTAL	\$335	\$335	\$369	\$404	\$430	\$459
Propane	Primary	\$452	\$452	\$469	\$506	\$517	\$535
	Secondary	\$191	\$191	\$229	\$264	\$285	\$314
	TOTAL	\$643	\$643	\$698	\$770	\$802	\$849
Fuel Oil	Primary	\$361	\$361	\$375	\$388	\$402	\$416
	Secondary	\$191	\$191	\$229	\$264	\$285	\$314
	TOTAL	\$552	\$552	\$604	\$652	\$687	\$730
	CASH	\$104	\$104	\$112	\$120	\$128	\$136

LIHEAP INCOME GUIDELINES AT 101% -150%		
FAMILY SIZE	101% 30 DAY INCOME From	150% 30 DAY INCOME To
1	\$904	\$1,354
2	\$1,215	\$1,821
3	\$1,527	\$2,289
4	\$1,839	\$2,756
5	\$2,150	\$3,224
6	\$2,462	\$3,691
7	\$2,774	\$4,159
8	\$3,085	\$4,626
9	\$3,397	\$5,094
10	\$3,709	\$5,561

2010 PAYMENT MATRIX — NORTH #3 101% - 150%							
		HOUSEHOLD SIZE					
FUEL TYPE	DVP	1	2	3	4	5	6 or more
Natural Gas/ Other	Primary	\$339	\$339	\$352	\$379	\$388	\$401
	Secondary	\$ 96	\$ 96	\$110	\$123	\$133	\$143
	TOTAL	\$435	\$435	\$462	\$502	\$521	\$544
All Electric	TOTAL	\$251	\$251	\$276	\$303	\$322	\$344
Propane	Primary	\$339	\$339	\$352	\$379	\$388	\$401
	Secondary	\$143	\$143	\$172	\$198	\$214	\$236
	TOTAL	\$482	\$482	\$524	\$577	\$602	\$637
Fuel Oil	Primary	\$271	\$271	\$281	\$291	\$301	\$312
	Secondary	\$143	\$143	\$172	\$198	\$214	\$236
	TOTAL	\$414	\$414	\$453	\$489	\$515	\$548
	CASH	\$100	\$100	\$100	\$100	\$100	\$102

## SOUTH

LIHEAP INCOME GUIDELINES AT 0% - 50%		
FAMILY SIZE	0% 30 DAY INCOME	50% 30 DAY INCOME
	From	To
1	\$0	\$451
2	\$0	\$607
3	\$0	\$763
4	\$0	\$919
5	\$0	\$1,075
6	\$0	\$1,230
7	\$0	\$1,386
8	\$0	\$1,542
9	\$0	\$1,698
10	\$0	\$1,854

2010 PAYMENT MATRIX - SOUTH #1 0% - 50%							
		HOUSEHOLD SIZE					
FUEL TYPE	DVP	1	2	3	4	5	6 or more
Natural Gas/ Other	Primary	\$493	\$493	\$514	\$554	\$568	\$588
	Secondary	\$135	\$135	\$155	\$174	\$189	\$203
	TOTAL	\$628	\$628	\$669	\$728	\$757	\$791
All Electric	TOTAL	\$348	\$348	\$385	\$425	\$452	\$484
Propane	Primary	\$493	\$493	\$514	\$554	\$568	\$588
	Secondary	\$205	\$205	\$248	\$285	\$309	\$340
	TOTAL	\$698	\$698	\$762	\$839	\$877	\$928
Fuel Oil	Primary	\$379	\$379	\$394	\$407	\$422	\$437
	Secondary	\$205	\$205	\$248	\$285	\$309	\$340
	TOTAL	\$584	\$584	\$642	\$692	\$731	\$777
	CASH	\$110	\$110	\$115	\$125	\$135	\$140

LIHEAP INCOME GUIDELINES AT 51% - 100%		
FAMILY SIZE	51% 30 DAY INCOME From	100% 30 DAY INCOME To
1	\$452	\$903
2	\$608	\$1,214
3	\$764	\$1,526
4	\$920	\$1,838
5	\$1,076	\$2,149
6	\$1,231	\$2,461
7	\$1,387	\$2,773
8	\$1,543	\$3,084
9	\$1,699	\$3,396
10	\$1,855	\$3,708

2010 PAYMENT MATRIX — SOUTH #2 51% - 100%							
		HOUSEHOLD SIZE					
FUEL TYPE	DVP	1	2	3	4	5	6 or more
Natural Gas/ Other	Primary	\$394	\$394	\$411	\$444	\$454	\$470
	Secondary	\$108	\$108	\$124	\$139	\$151	\$162
	TOTAL	\$502	\$502	\$535	\$583	\$605	\$632
All Electric	TOTAL	\$278	\$278	\$308	\$340	\$361	\$387
Propane	Primary	\$394	\$394	\$411	\$444	\$454	\$470
	Secondary	\$164	\$164	\$198	\$228	\$247	\$272
	TOTAL	\$558	\$558	\$609	\$672	\$701	\$742
Fuel Oil	Primary	\$303	\$303	\$315	\$326	\$338	\$349
	Secondary	\$164	\$164	\$198	\$228	\$247	\$272
	TOTAL	\$467	\$467	\$513	\$554	\$585	\$621
	CASH	\$100	\$100	\$100	\$100	\$108	\$112

LIHEAP INCOME GUIDELINES AT 101% - 150%		
FAMILY SIZE	101% 30 DAY INCOME From	150% 30 DAY INCOME To
1	\$904	\$1,354
2	\$1,215	\$1,821
3	\$1,527	\$2,289
4	\$1,839	\$2,756
5	\$2,150	\$3,224
6	\$2,462	\$3,691
7	\$2,774	\$4,159
8	\$3,085	\$4,626
9	\$3,397	\$5,094
10	\$3,709	\$5,561

2010 PAYMENT MATRIX — SOUTH #3 101% - 150%							
		HOUSEHOLD SIZE					
FUEL TYPE	DVP	1	2	3	4	5	6 or more
Natural Gas/ Other	Primary	\$296	\$296	\$309	\$333	\$341	\$353
	Secondary	\$ 81	\$ 81	\$ 93	\$104	\$113	\$122
	TOTAL	\$377	\$377	\$402	\$437	\$454	\$475
All Electric	TOTAL	\$209	\$209	\$231	\$255	\$271	\$290
Propane	Primary	\$296	\$296	\$309	\$333	\$341	\$353
	Secondary	\$123	\$123	\$149	\$171	\$185	\$204
	TOTAL	\$419	\$419	\$458	\$504	\$526	\$557
Fuel Oil	Primary	\$228	\$228	\$236	\$244	\$253	\$262
	Secondary	\$123	\$123	\$149	\$171	\$185	\$204
	TOTAL	\$351	\$351	\$385	\$415	\$438	\$466
	CASH	\$100	\$100	\$100	\$100	\$100	\$100



## **APPENDIX B**

Directory of Energy and Weatherization Assistance  
Local Administering Agencies B-1, B-2

# DIRECTORY OF ENERGY AND WEATHERIZATION ASSISTANCE LOCAL ADMINISTERING AGENCIES

BCMW Community Services, Inc.  
909 E. Rexford Street  
PO Box 729  
Centralia, IL 62801-0729  
Counties Served: Bond, Clinton, Marion & Washington

Carver Community Action Agency  
311 E. Main Street, Ste. 610  
PO Box 28  
Galesburg, IL 61402-0028  
County Served: Knox

CEFS Economic Opportunity Corporation  
1805 S. Banker Street  
PO Box 928  
Effingham, IL 62401-0928  
Counties Served: Christian, Clay, Effingham, Fayette, Montgomery, Moultrie & Shelby

Community Action Partnership of Central Illinois  
1800 Fifth Street  
P O Box 791  
Lincoln, IL 62656-9117  
Counties Served: DeWitt, Logan, Mason, Menard & Piatt

Champaign County RPC  
1776 E. Washington  
P.O. Box 17760  
Urbana, Illinois 61803-7760  
Counties served: Champaign

Community & Economic Development  
Association of Cook County, Inc.  
208 S. LaSalle Street, Suite 1900  
Chicago, IL 60604-1001  
Counties Served: City of Chicago and Suburban Cook County

Community Contacts, Inc.  
100 S. Hawthorne  
Elgin, IL 60123  
Counties Served: DeKalb & Kane

Crosswalk Community Action  
410 W. Main Street  
West Frankfort, IL 62896-2259  
Counties Served: Franklin, Jefferson & Williamson

Decatur-Macon County Opportunities Corp.  
1122 E. Marietta Street  
Decatur, IL 62521-1324  
Counties Served: Macon

DuPage County Dept. of Community Services  
421 N. County Farm Road  
Wheaton, IL 60187-3978  
Counties Served: DuPage

East Central IL Community Action Program  
56 N. Vermilion Street  
PO Box 1335  
Danville, IL 61834-1335  
Counties Served: Ford, Iroquois & Vermilion

Embarrass River Basin Agency, Inc.  
400 West Pleasant Street  
PO Box 307  
Greenup, IL 62428-0307  
Counties Served: Clark, Coles, Crawford, Cumberland, Douglas, Edgar, Jasper, Lawrence & Richland

Fulton County Health Department  
700 E. Oak Street  
Canton, IL 61520-3157  
Counties Served: Fulton

Illinois Valley Economic Development Corp.  
223 S. Macoupin Street  
PO Box 88  
Gillespie, IL 62033-0088  
Counties Served: Calhoun, Greene, Jersey & Macoupin

Kankakee County Community Services, Inc.  
657 E. Court Street, Ste. 207  
Kankakee, IL 60901-1316  
Counties Served: Kankakee

Kendall-Grundy Community Action  
811 John Street  
Yorkville, IL 60560-1063  
Counties Served: Grundy & Kendall

Community Action Partnership of Lake County  
P.O. Box 9059  
Waukegan, IL 60079-9059  
Counties Served: Lake

Madison County Community Development  
130 Hillsboro Avenue, Suite 100  
Edwardsville, IL 62025-1636  
Counties Served: Madison  
McHenry County Housing Authority  
1108 N. Seminary Avenue  
PO Box 1109  
Woodstock, IL 60098-1109  
Counties Served: McHenry

# DIRECTORY OF ENERGY AND WEATHERIZATION ASSISTANCE LOCAL ADMINISTERING AGENCIES

MCS Community Services  
345 W State Street  
Jacksonville, IL 62650-2093  
Counties Served: Cass, Morgan & Scott

Mid Central Community Action, Inc.  
1301 W. Washington Street  
Bloomington, IL 61701-4201  
Counties Served: Livingston & McLean

Northwestern IL Community Action Agency  
103-109 N. Chicago Avenue  
Freeport, IL 61032-4100  
Counties Served: JoDaviess & Stephenson

Peoria Citizens Committee for Economic Opp.  
711 W. McBean Street  
Peoria, IL 61605-2254  
Counties Served: Peoria

Project NOW Inc.  
418 19th Street  
PO Box 3970  
Rock Island, IL 61204-3970  
Counties Served: Henry, Mercer & Rock  
Island

Rockford Human Services Department  
555 N. Court Street, Ste. 301  
Rockford, IL 61103-6915  
Counties Served: Boone & Winnebago

St. Clair County Community Action Agency  
19 Public Square, Suite 200  
Belleville, IL 62220-1624  
Counties Served: St. Clair

Sangamon Co. Dept. of Comm. Resources  
200 S. Ninth Street, Room 311  
Springfield, IL 62701-1681  
Counties Served: Sangamon

Shawnee Development Council, Inc.  
530 W. Washington  
P.O. Box 298  
Karnak, IL 62956-0298  
Counties Served: Alexander, Hardin, Johnson,  
Massac, Pope, Pulaski & Union

Tazwood Community Services, Inc.  
Field Shopping Center  
2005 S. Main Street  
Morton, IL 61550-2915  
Counties Served: Tazewell & Woodford

Tri-County Opportunities Council  
405 Emmons Avenue  
PO Box 610  
Rock Falls, IL 61071-0610  
Counties Served: Bureau, Carroll, LaSalle,  
Lee, Marshall, Ogle, Putnam, Stark &  
Whiteside

Two Rivers Regional Council of Public Officials  
936 Broadway Street, Ste. E  
Quincy, IL 62301-2731  
Counties Served: Adams, Brown, Pike and  
Schuyler

Wabash Area Development, Inc.  
110 Latham Street  
PO Box 70  
Enfield, IL 62835-0070  
Counties Served: Edwards, Gallatin, Hamilton,  
Saline, Wabash, Wayne & White

Western Egyptian Economic Opp. Council  
1 Industrial Park  
PO Box 7  
Steeleville, IL 62288-0007  
Counties Served: Jackson, Monroe, Perry and  
Randolph

Western IL Regional Council  
223 S. Randolph Street  
Macomb, IL 61455-2209  
Counties Served: Hancock, Henderson,  
McDonough & Warren

Will County Center for Community Concerns  
304 N. Scott Street  
Joliet, Illinois 60432-4035  
Counties Served: Will

## **APPENDIX C**

Annotation of Assurances C-1

# ASSURANCES

<b>Assurances</b>	<b>Section Number.....</b>	<b>Page(s)</b>
1. Use of Funds	III.A .....	7
2. Eligibility	III.B .....	8
3. Outreach	III.C .....	9
4. Coordination	III.D .....	10
5. Payment Levels	III.E .....	10, 11
6. Local Administering Agencies	III.F .....	11
7. Energy Vendor Payments	III.G.....	12
8. Owner-Renter Equity	III.E, III.H.....	10, 11
9. Administrative Cost	III.I .....	13
10. Fiscal Accountability and Audit	III.J.....	13
11. Federal Investigations	III.K .....	13
12. Public Participation	III.L .....	13, 14
13. Appeals	III M.....	14,15
14. Nondiscrimination	III.B, III.C, III.N .....	8, 9, 15
14. Allocation Distribution	III.A, III.B, IV .....	7, 8, 17
14. Energy Usage	III.E .....	10, 11
14. Collection of Data	III.O.....	16
15. Statewide Outreach on Intake Functions	III.C, III.D .....	9, 10
16. 5% Service Limit on Energy Reduction	III.C, III.O, IV .....	9, 16, 18

# **ATTACHMENT 1**

Public Hearings for the  
2010 State Plan

## **LOW INCOME HOME ENERGY ASSISTANCE PROGRAM (LIHEAP)**

### **PUBLIC HEARINGS**

July 9, 2009  
10:00 a.m. - 12:00 p.m.  
DCEO Office - Springfield, Illinois

Hearing Officer: Juanita Daniels, Springfield

This is the public hearing for the LIHEAP program. We prepared a draft state plan for application for these funds and will take public comments on the draft plan today. We anticipate submitting the final plan to HHS by August 1, 2009. Notification of the hearing was published in three newspapers: The Chicago Sun-Times, The Springfield State Journal-Register, and the official state newspaper – Arlington Heights Herald. Notifications were also mailed to local administering agencies currently operating our program and others in the community at large. Copies of the plan were made available for public inspection at the Illinois Department of Commerce and Economic Opportunity offices in Chicago and Springfield. We will be taping all testimony. In our notices, we requested that persons wishing to testify contact the Department to schedule a time to present their testimony. At this time, we will take comments from the audience.

## **LOW INCOME HOME ENERGY ASSISTANCE PROGRAM (LIHEAP)**

### **PUBLIC HEARINGS**

July, 2009

10:00 a.m. - 12:00 p.m.

DCEO Office - Chicago, Illinois

Hearing Officer: Juanita Daniels, Chicago

This is the public hearing for the LIHEAP program. We prepared a draft state plan for application for these funds and will take public comments on the draft plan today. We anticipate submitting the final plan to HHS by August 1, 2009. Notification of the hearing was published in three newspapers: The Chicago Sun-Times, The Springfield State Journal-Register, and the official state newspaper – Arlington Heights Herald. Notifications were also mailed to local administering agencies currently operating our program and others in the community at large. Copies of the plan were made available for public inspection at the Illinois Department of Commerce and Economic Opportunity offices in Chicago and Springfield. We will be taping all testimony. In our notices, we requested that persons wishing to testify contact the Department to schedule a time to present their testimony. At this time, we will take comments from the audience.

## **ATTACHMENT 2**

Certifications

## CERTIFICATION REGARDING LOBBYING

### Certification for Contracts, Grants, Loans, and Cooperative Agreements

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly. This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

### Statement for Loan Guarantees and Loan Insurance

The undersigned states, to the best of his or her knowledge and belief, that:

If any funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this commitment providing for the United States to insure or guarantee a loan, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions. Submission of this statement is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required statement shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Title

\_\_\_\_\_  
Organization

## **CERTIFICATION REGARDING DEBARMENT, SUSPENSION AND OTHER RESPONSIBILITY MATTERS**

### Certification Regarding Debarment, Suspension, and Other Responsibility Matters--Primary Covered Transactions

#### Instructions for Certification

1. By signing and submitting this proposal, the prospective primary participant is providing the certification set out below.
2. The inability of a person to provide the certification required below will not necessarily result in denial of participation in this covered transaction. The prospective participant shall submit an explanation of why it cannot provide the certification set out below. The certification or explanation will be considered in connection with the department or agency's determination whether to enter into this transaction. However, failure of the prospective primary participant to furnish a certification or an explanation shall disqualify such person from participation in this transaction.
3. The certification in this clause is a material representation of fact upon which reliance was placed when the department or agency determined to enter into this transaction. If it is later determined that the prospective primary participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause or default.
4. The prospective primary participant shall provide immediate written notice to the department or agency to which this proposal is submitted if at any time the prospective primary participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
5. The terms covered transaction, debarred, suspended, ineligible, lower tier covered transaction, participant, person, primary covered transaction, principal, proposal, and voluntarily excluded, as used in this clause, have the meanings set out in the Definitions and Coverage sections of the rules implementing Executive Order 12549. You may contact the department or agency to which this proposal is being submitted for assistance in obtaining a copy of those regulations.
6. The prospective primary participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is proposed for debarment under 48 CFR part 9, subpart 9.4, debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency entering into this transaction.
7. The prospective primary participant further agrees by submitting this proposal that it will include the clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transaction," provided by the department or agency entering into this covered transaction, without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions.
8. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not proposed for debarment under 48 CFR part 9, subpart 9.4, debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may, but is not required to, check the List of Parties Excluded from Federal Procurement and

Nonprocurement Programs.

9. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

10. Except for transactions authorized under paragraph 6 of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is proposed for debarment under 48 CFR part 9, subpart 9.4, suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause or default.

## CERTIFICATION REGARDING DRUG-FREE WORKPLACE REQUIREMENTS

---

This certification is required by the regulations implementing the Drug-Free Workplace Act of 1988: 45 CFR Part 76, Subpart, F. Sections 76.630(c) and (d)(2) and 76.645(a)(1) and (b) provide that a Federal agency may designate a central receipt point for STATE-WIDE AND STATE AGENCY-WIDE certifications, and for notification of criminal drug convictions. For the Department of Health and Human Services, the central point is: Division of Grants Management and Oversight, Office of Management and Acquisition, Department of Health and Human Services, Room 517-D, 200 Independence Avenue, SW Washington, DC 20201.

---

### Certification Regarding Drug-Free Workplace Requirements (Instructions for Certification)

1. By signing and/or submitting this application or grant agreement, the grantee is providing the certification set out below.
2. The certification set out below is a material representation of fact upon which reliance is placed when the agency awards the grant. If it is later determined that the grantee knowingly rendered a false certification, or otherwise violates the requirements of the Drug-Free Workplace Act, the agency, in addition to any other remedies available to the Federal Government, may take action authorized under the Drug-Free Workplace Act.
3. For grantees other than individuals, Alternate I applies.
4. For grantees who are individuals, Alternate II applies.
5. Workplaces under grants, for grantees other than individuals, need not be identified on the certification. If known, they may be identified in the grant application. If the grantee does not identify the workplaces at the time of application, or upon award, if there is no application, the grantee must keep the identity of the workplace(s) on file in its office and make the information available for Federal inspection. Failure to identify all known workplaces constitutes a violation of the grantee's drug-free workplace requirements.
6. Workplace identifications must include the actual address of buildings (or parts of buildings) or other sites where work under the grant takes place. Categorical descriptions may be used (e.g., all vehicles of a mass transit authority or State highway department while in operation, State employees in each local unemployment office, performers in concert halls or radio studios).
7. If the workplace identified to the agency changes during the performance of the grant, the grantee shall inform the agency of the change(s), if it previously identified the workplaces in question (see paragraph five).
8. Definitions of terms in the Nonprocurement Suspension and Debarment common rule and Drug-Free Workplace common rule apply to this certification. Grantees' attention is called, in particular, to the following definitions from these rules:

*Controlled substance* means a controlled substance in Schedules I through V of the Controlled

Substances Act (21 U.S.C. 812) and as further defined by regulation (21 CFR 1308.11 through 1308.15);

*Conviction* means a finding of guilt (including a plea of nolo contendere) or imposition of sentence, or both, by any judicial body charged with the responsibility to determine violations of the Federal or State criminal drug statutes;

*Criminal drug statute* means a Federal or non-Federal criminal statute involving the manufacture, distribution, dispensing, use, or possession of any controlled substance;

*Employee* means the employee of a grantee directly engaged in the performance of work under a grant, including: (i) All direct charge employees; (ii) All indirect charge employees unless their impact or involvement is insignificant to the performance of the grant; and, (iii) Temporary personnel and consultants who are directly engaged in the performance of work under the grant and who are on the grantee's payroll. This definition does not include workers not on the payroll of the grantee (e.g., volunteers, even if used to meet a matching requirement; consultants or independent contractors not on the grantee's payroll; or employees of subrecipients or subcontractors in covered workplaces).

## Certification Regarding Drug-Free Workplace Requirements

### Alternate I. (Grantees Other Than Individuals)

The grantee certifies that it will or will continue to provide a drug-free workplace by:

- (a) Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
- (b) Establishing an ongoing drug-free awareness program to inform employees about --
  - (1) The dangers of drug abuse in the workplace;
  - (2) The grantee's policy of maintaining a drug-free workplace;
  - (3) Any available drug counseling, rehabilitation, and employee assistance programs; and
  - (4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
- (c) Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph (a);
- (d) Notifying the employee in the statement required by paragraph (a) that, as a condition of employment under the grant, the employee will --
  - (1) Abide by the terms of the statement; and
  - (2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;
- (e) Notifying the agency in writing, within 10 calendar after receiving notice under paragraph (d)(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted

employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;

(f) Taking one of the following actions, within 30 calendar days of receiving notice under paragraph (d)(2), with respect to any employee who is so convicted --

(1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or

(2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;

(g) Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs (a), (b), (c), (d), (e) and (f).

(B) The grantee may insert in the space provided below the site(s) for the performance of work done in connection with the specific grant:

Place of Performance (Street address, city, county, state, zip code)

| 100 West Randolph Street, Suite 3-400; Chicago, IL 60601

| 400 N. 5<sup>th</sup> Street, Springfield, IL 62702

Check if there are workplaces on file that are not identified here.

Alternate II. (Grantees Who Are Individuals)

(a) The grantee certifies that, as a condition of the grant, he or she will not engage in the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance in conducting any activity with the grant;

(b) If convicted of a criminal drug offense resulting from a violation occurring during the conduct of any grant activity, he or she will report the conviction, in writing, within 10 calendar days of the conviction, to every grant officer or other designee, unless the Federal agency designates a central point for the receipt of such notices. When notice is made to such a central point, it shall include the identification number(s) of each affected grant.

# **ATTACHMENT 3**

2009 Program Results